Towards Quantitative Inductive Families

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Quantitative type theory (QTT)

- Linear (substructural) types + dependent types
- Runtime irrelevance in Agda
- Multiplicity in Idris2

append : (1 _ : Vect n a) -> (1 _ : Vect m a) -> Vect (n + m) a append xs ys = ?append_rhs Main >:t append_rhs 0 m : Nat 0 a : Type 0 n : Nat 1 ys : Vect m a 1 xs : Vect n a

append_rhs : Vect (plus n m) a

Quantitative type theory + Datatypes?

Indexed datatypes

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Inductive families

???

Indexed datatypes with quantities

Quantitative type theory + Datatypes?

$$\frac{\gamma \triangleright z \quad \delta, p, r \triangleright s \quad \eta \triangleright n \quad \theta, q \triangleright A}{(\gamma \land \eta) \circledast_r (\delta + p\eta) \triangleright \operatorname{natrec}_{p,r}^q A z s n}$$
[1] Abel et al.

 $\begin{array}{l} (\Delta \mid \sigma_3 \mid \sigma_1 + \sigma_2) \odot \Gamma \vdash t_1 : (x:_r A) \otimes B \\ (\Delta, (\sigma_1 + \sigma_2) \mid \sigma_5, r' \mid \mathbf{0}) \odot \Gamma, z : (x:_r A) \otimes B \vdash C : \mathsf{Type}_l \\ \underline{(\Delta, \sigma_1, (\sigma_2, r) \mid \sigma_4, s, s \mid \sigma_5, r', r') \odot \Gamma, x : A, y : B \vdash t_2 : [(x, y)/z]C}{(\Delta \mid \sigma_4 + s * \sigma_3 \mid \sigma_5 + r' * \sigma_3) \odot \Gamma \vdash \mathsf{let} (x, y) = t_1 \mathsf{in} t_2 : [t_1/z]C} \otimes_e \end{array}$

[2] Moon et al.

 $0\Gamma, x \stackrel{0}{:} \operatorname{Nat} \vdash P \text{ type}$ $\Gamma \vdash M \stackrel{\sigma}{:} \operatorname{Nat}$ $0\Gamma \vdash N_z \stackrel{\sigma}{:} P[\operatorname{zero}/x]$ $0\Gamma, n \stackrel{0}{:} \operatorname{Nat}, p \stackrel{\sigma}{:} P[n/x] \vdash N_s \stackrel{\sigma}{:} P[\operatorname{succ}(n)/x]$ $\overline{\Gamma} \vdash \operatorname{rec}_{x.P} M \{\operatorname{zero} \mapsto N_z; \operatorname{succ}(n; p) \mapsto N_s\} \stackrel{\sigma}{:} P[M/x]$

[3] Atkey

T-SIGMAELIM $\Delta ; \Gamma_{1} \vdash a : \Sigma x:^{q}A_{1}.A_{2}$ $\Delta, x:A_{1}, y:A_{2} ; \Gamma_{2}, x:^{q}A_{1}, y:^{1}A_{2} \vdash b : B\{(x, y)/z\}$ $\Delta, z:(\Sigma x:^{q}A_{1}.A_{2}) ; \Gamma_{3}, z:^{r} (\Sigma x:^{q}A_{1}.A_{2}) \vdash B : type$

 $\Delta ; \Gamma_1 + \Gamma_2 \vdash \mathbf{let} (x, y) = a \text{ in } b : B\{a/z\}$

[4] Choudhury et al.

Motivation

- Have a theoretical foundation of datatypes in QTT
- Have a unified framework for future research
- Investigate problems like pattern matching elimination

What we want from datatypes

- Expressive and intuitive

Pairs, vectors, trees (etc.) with different usages for each component

- Compiler-friendly

Small effort to type-check, easy to implement

- Syntactically well-behaved Substitution, reduction, erasure, ...

- Semantically meaningful Initial algebra semantics

Structure of this talk

I. Typing rules and principles of QTT

Domain of quantities
Types need nothing
No erased at runtime
Join over branches

II. Extending QTT with datatypes

Linear ListsVectors with quantities

I. Typing rules and principles of QTT

Zero, One, and Many

Each variable is assigned with a quantity from $Q = \{0, 1, \omega\}$, marking its runtime usage.

Quantity of a variable	Meaning
0	unused
1	used linearly
ω	used non-linearly

Zero, One, and Many

We can describe more situations using an order and a few operations over the quantities.

Order: $0 \leq \omega \geq 1$.

Quantity of a variable	Meaning
p+q	used p times in an expression, and then q times in another expression
$p\cdot q$	used p times in an expression, and that expression is used q times
$p \sqcup q$	used p or q times, taking the least upper bound of p and q

QTT judgements



 $\underline{m}: dom(\Gamma) \longrightarrow Q$, where $\underline{m}(x)$ is runtime usage of x

The variable rule



Note: we abuse the notation and implicitly cast modes to quantities here.

Principle I: Types need nothing

$$\frac{\Gamma \vdash A \stackrel{0}{:} \operatorname{Type} ; \underline{\theta} \quad \Gamma, x : A \vdash B \stackrel{0}{:} \operatorname{Type} ; \underline{\theta}}{\Gamma \vdash \Pi x \stackrel{q}{:} A . B \stackrel{0}{:} \operatorname{Type} ; \underline{\theta}}$$

Type-formers like Π are judged in the erased mode.

Lambda and application

$$\frac{\Gamma Y - LAM}{\prod_{i=1}^{n} K_{i}^{q} A \cdot M \stackrel{\sigma}{:} \frac{m}{m} \cdot \frac{m}{m}} \frac{m}{\prod_{i=1}^{n} K_{i}^{q} A \cdot M \stackrel{\sigma}{:} \frac{m}{m} \cdot \frac{m}{m}}$$

If the fresh variable x is used q times, then we get a function of type $\Pi x \stackrel{q}{:} A. B.$

$$\sigma' = 0 \Leftrightarrow (\sigma = 0 \lor q = 0)$$
$$\frac{\Gamma \vdash M \stackrel{\sigma}{:} \Pi x \stackrel{q}{:} A \cdot B ; \underline{m} \quad \Gamma \vdash N \stackrel{\sigma'}{:} A ; \underline{n}}{\Gamma \vdash M N \stackrel{\sigma}{:} B[M/x] ; \underline{m} + q\underline{n}}$$

Variables are used \underline{m} times in M and \underline{n} times in N. So, the total usage is $\underline{m} + q\underline{n}$, since N is used q times by function M.

Note: operations on Q extend pointwise to quantity assignments.

Principle II: No erased at runtime

 $\frac{\Gamma Y - LAM}{\prod_{i=1}^{n} K : A \vdash M : B; \underline{m}, q}{\Gamma \vdash \lambda x : A \cdot M : \Pi x : A \cdot B; \underline{m}}$

 $\begin{array}{c} \text{TY-APP} \\ \sigma' = 0 \Leftrightarrow (\sigma = 0 \lor q = 0) \\ \hline \Gamma \vdash M \stackrel{\sigma}{:} \Pi x \stackrel{q}{:} A . B ; \underline{m} \quad \Gamma \vdash N \stackrel{\sigma'}{:} A ; \underline{n} \\ \hline \Gamma \vdash M N \stackrel{\sigma}{:} B[M/x] ; \underline{m} + q\underline{n} \end{array}$

, N is erased $(\sigma'=0)$ iff:

(1). The application is erased $(\sigma' = 0)$.

(2). M doesn't use its argument (q = 0).

Principle III: Join over branches

TY-ELIMBOOL

 $\Gamma, b: \mathbf{Bool} \vdash P \stackrel{0}{:} \mathrm{Type} ; \underline{0}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{Bool} ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[\mathbf{tt}/b] ; \underline{m}$ $\Gamma \vdash N \stackrel{\sigma}{:} P[\mathbf{ff}/b] ; \underline{n}$ $\overline{\Gamma \vdash \mathbf{if}_P \ L \ \mathbf{then} \ M \ \mathbf{else} \ N \stackrel{\sigma}{:} P[L/b] ; \underline{l} + (\underline{m} \sqcup \underline{n})}$

Order: $0 \leq \omega \geq 1$.

 $p \sqcup q$: the variable is used p or q times,

taking the least upper bound of p and q.

Variables are used \underline{l} times in L, and $(\underline{m} \sqcup \underline{n})$ times in the branches.

So, the total usages are $\underline{l} + (\underline{m} \sqcup \underline{n})$.

Sub-usaging

TY-SUB-USAGE

$$\Gamma \vdash M \stackrel{\sigma}{:} A ; \underline{m}$$

$$\underline{\underline{m} \leq \underline{m}'}$$

$$\overline{\Gamma \vdash M \stackrel{\sigma}{:} A ; \underline{m}'}$$

We can over-estimate the resources required by M.

Syntactic properties

Lemma (Substitution). The following rule for substitution is admissible:

TY-SUBST

$$\Gamma, x: A \vdash M \stackrel{\sigma}{:} B ; \underline{m}, q \quad \Gamma \vdash N \stackrel{\sigma'}{:} A ; \underline{n}$$

$$\sigma' = 0 \Leftrightarrow q = 0$$

$$\Gamma \vdash M[N/x] \stackrel{\sigma}{:} B[N/x] ; \underline{m} + q\underline{n}$$

Similar to the application rule.

Lemma (Reduction). If $\Gamma \vdash M \stackrel{\sigma}{:} A$; \underline{m} and M reduces to M', then $\Gamma \vdash M' \stackrel{\sigma}{:} A$; \underline{m} is derivable.

II. Extending QTT with datatypes



Using means matching

Using M once is matching/unfolding it once.

For example, if M is a pair, then

let (x, y) = M in N

counts as using M once.

Usage of M's components are specified by the type of M.

Linear lists: signature and type former

data $\mathbf{List}^{11} (A: \mathrm{Type}) : \mathrm{Type}$ where []: $\mathbf{List}^{11}A$ _::_ : $(x \stackrel{1}{:} A)(xs \stackrel{1}{:} \mathbf{List}^{11}A) \rightarrow \mathbf{List}^{11}A$

Head and tail can be used only once.

 $\frac{\Gamma \vdash A \stackrel{0}{:} \text{Type }; \underline{\theta}}{\Gamma \vdash \text{List}^{11}A \stackrel{0}{:} \text{Type }; \underline{\theta}}$

Principle I: Types need nothing.

It's easy to extend this to vectors, we'll focus on lists for now!

Linear lists: constructors

$$\frac{\Gamma \vdash A \stackrel{0}{:} \mathrm{Type} \; ; \; \underline{\theta}}{\Gamma \vdash [] \stackrel{\sigma}{:} \mathbf{List}^{11}A \; ; \; \underline{\theta}}$$

No resource required for Nil.



Resources are summed up in constructors, like what we did in applications.

TY-ELIMLIST

 $\Gamma, ls: \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} ; \underline{0}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] ; \underline{m}$ $\frac{\Gamma, x: A, xs: \mathbf{List}^{11}A, r: P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] ; \underline{n}, 1, 0, 1}{\Gamma \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] ; \underline{l} + \underline{m} + \omega \underline{n}}$

It looks messy...



TY-ELIMLIST

 $\begin{array}{c} \Gamma, ls: \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} \; ; \; \underline{0} \\ \Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A \; ; \; \underline{l} \\ \Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] \; ; \; \underline{m} \end{array}$ $\begin{array}{c} \Gamma, x: A, xs: \mathbf{List}^{11}A, r: P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] \; ; \; \underline{n}, 1, 0, 1 \\ \Gamma \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] \; ; \; \underline{l} + \underline{m} + \omega \underline{n} \end{array}$

The typing rules are conventional.

TY-ELIMLIST

 $\Gamma, ls: \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} ; \underline{\mathcal{O}}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] ; \underline{m}$ $\underline{\Gamma, x: A, xs: \mathbf{List}^{11}A, r: P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] ; \underline{n}, 1, 0, 1$ $\overline{\Gamma} \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] ; \underline{l} + \underline{m} + \omega \underline{n}$

The typing rules are conventional. We focus on quantities.



P is a type that needs nothing.

Variables are used \underline{l} times in L and \underline{m} times in the Nil case M.

TY-ELIMLIST

$$\begin{split} &\Gamma, ls : \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} \; ; \; \underline{\theta} \\ &\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A \; ; \; \underline{l} \\ &\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] \; ; \; \underline{m} \\ \\ &\frac{\Gamma, x : A, xs : \mathbf{List}^{11}A, r : P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] \; ; \; \underline{n}, \stackrel{x \; xs \; r}{1, 0, 1} \\ &\frac{\Gamma \vdash Elim_{list}(P, L, M, (x, xs, r) . N) \stackrel{\sigma}{:} P[L/ls] \; ; \; \underline{l} + \underline{m} + \omega \underline{n} \end{split}$$

At the Cons case: Usages of variables in the context is \underline{n} .

Head x and the induction hypothesis r are used once.

The tail xs is only there for typing, hence it has usage 0.

TY-ELIMLIST

$$\begin{split} &\Gamma, ls : \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} \; ; \; \underline{0} \\ &\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A \; ; \; \underline{l} \\ &\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] \; ; \; \underline{m} \\ \\ &\frac{\Gamma, x : A, xs : \mathbf{List}^{11}A, r : P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] \; ; \; \underline{n}, 1, 0, 1}{\Gamma \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] \; ; \; \underline{l} + \underline{m} + \omega \underline{n} \end{split}$$

If L = [] :

The eliminator reduces to M that requires resources \underline{m} .

If L = Hd :: Tl :

N is evaluated many times until it hits the base case M, using resources $\underline{m} + \omega \underline{n}$ (the exact number of iterations is unknown).

TY-ELIMLIST

 $\Gamma, ls: \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} ; \underline{0}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] ; \underline{m}$ $\frac{\Gamma, x: A, xs: \mathbf{List}^{11}A, r: P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] ; \underline{n}, 1, 0, 1}{\Gamma \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] ; \underline{l} + \underline{m} + \omega \underline{n}}$

Principle III: Join over branches.

We join the usages of two cases, and add the usages in the list L, getting $\underline{l} + (\underline{m} \sqcup (\underline{m} + \omega \underline{n}))$, which simplifies to $\underline{l} + (\underline{m} + \omega \underline{n})$.

Use it now, or use it later

Sometimes, we want to use the tail directly instead of recursively.

TY-ELIMLIST

 $\Gamma, ls: \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} ; \underline{0}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] ; \underline{m}$ $\frac{\Gamma, x: A, xs: \mathbf{List}^{11}A, r: P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] ; \underline{n}, 1, 1, 0}{\Gamma \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] ; \underline{l} + (\underline{m} \sqcup \underline{n})}$

At the Cons case: Usages of variables in the context is \underline{n} . Head x and tail xs are each used once. The induction hypothesis r is unused.

Use it now, or use it later

TY-ELIMLIST

 $\Gamma, ls: \mathbf{List}^{11}A \vdash P \stackrel{0}{:} \mathrm{Type} ; \underline{0}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{List}^{11}A ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[[]/ls] ; \underline{m}$ $\frac{\Gamma, x: A, xs: \mathbf{List}^{11}A, r: P[xs/ls] \vdash N \stackrel{\sigma}{:} P[x :: xs/ls] ; \underline{n}, 1, 1, 0}{\Gamma \vdash Elim_{list}(P, L, M, (x, xs, r).N) \stackrel{\sigma}{:} P[L/ls] ; \underline{l} + (\underline{m} \sqcup \underline{n})}$

So, the total usages are $\underline{l} + (\underline{m} \sqcup \underline{n})$, similar to Bool.

Putting it together



Note: recall that $0 \leq \omega \geq 1$, so (q_1, q_2) can only be (0,1) or (1,0).

Putting it together



The formula covers all situations of q_2 . $q_2 = 0: \underline{l} + (\underline{m} \sqcup \underline{n})$ $q_2 = 1: \underline{l} + (\underline{m} \sqcup (\underline{m} + \omega \underline{n}))$

A glance at Vectors

data $\operatorname{Vec}^{pq}(A:\operatorname{Type}): (n:\operatorname{Nat}) \to \operatorname{Type}$ where []: $\operatorname{Vec}^{pq}A \ 0$ _::_ : $(n \stackrel{0}{:} \operatorname{Nat})(x \stackrel{p}{:} A)(xs \stackrel{q}{:} \operatorname{Vec}^{pq}A \ n) \to \operatorname{Vec}^{pq}A \ s(n)$ Vector size n is erased. Head and tail can be used p and q times each.

 $\frac{\Gamma \vdash A \stackrel{0}{:} \operatorname{Type} ; \underline{\theta} \quad \Gamma \vdash n \stackrel{0}{:} \mathbf{Nat} ; \underline{\theta}}{\Gamma \vdash \mathbf{Vec}^{pq} A \ n \stackrel{0}{:} \operatorname{Type} ; \underline{\theta}}$

Types need nothing.

Note: it means that one could define a datatype Vec^{pq} for all (p, q) in Q. Note: we don't specify quantities for parameters and indices because they cannot be "used" at runtime.

Vectors: constructors



Principle II: No erased at runtime. The constraints make sure there is no erased term at runtime.

Resources are summed up like what we did in applications.

Vectors: eliminators

TY-ELIMVEC-PQ $\Gamma, n: \mathbf{Nat}, ls: \mathbf{Vec}^{pq}A \ n \vdash P \stackrel{0}{:} \text{Type} ; \underline{\theta}$ $\Gamma \vdash L \stackrel{\sigma}{:} \mathbf{Vec}^{pq}A \ n ; \underline{l}$ $\Gamma \vdash M \stackrel{\sigma}{:} P[0/n, []/ls] ; \underline{m}$ $\Gamma, n: \mathbf{Nat}, x: A, xs: \mathbf{List}^{pq}A, r: P[n/n, xs/ls] \vdash N \stackrel{\sigma}{:} P[s(n)/n, x :: xs/ls] ; \underline{n}, 0, p, q_1, q_2$ $q_1 + q_2 \leq q$

 $\Gamma \vdash Elim_{list}(P, L, M, (n, x, xs, r).N) \stackrel{\sigma}{:} P[n/n, L/ls]; \ \underline{l} + (\underline{m} \sqcup (q_2\underline{m} + (q_2 + 1)\underline{n}))$

TL;DR: It's basically the same as the list eliminator.

Now, and next

Progress so far:

- A general typing scheme for inductive-family definitions with quantities
- Proof of substitution and reduction for the general scheme (on paper)
- A prototype implementation of the type checker in OCaml

Future work:

- Resource-aware operational semantics and theorems (erasure, linearity, etc.)
- Pattern matching elimination (internal in QTT)
- Initial algebra semantics and formalization

Thank you!

...and questions?

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References

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